## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

affirmative defense con 10b5-1(c). See Instruct						
1. Name and Address of West Lance	Reporting Person*		2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Finance of America Companies Inc.</u> [FOA ]		tionship of Reporting Person all applicable)	
		,	3. Date of Earliest Transaction (Month/Day/Year) 05/13/2024		Director Officer (give title below)	10% Owner Other (specify below)
C/O FINANCE OF AMERICA COMPANIES INC. 5830 GRANITE PARKWAY, SUITE 400			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv X	idual or Joint/Group Filing ( Form filed by One Repor Form filed by More than	ting Person
(Street)		2500.4				g
PLANO	ГХ	75024				
(City) (	State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Class A Common Stock	05/13/2024	М		56,497	Α	(1)	122,807	D		
Class A Common Stock							700,000		See Footnote <sup>(2)</sup>	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	4. Transac Code (In 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)		
Restricted Stock Units	(3)	05/13/2024		М			56,497	(4)	(4)	Class A Common Stock	56,497	\$0	0	D	
Restricted Stock Units	(3)	05/13/2024		A		100,000		(5)	(5)	Class A Common Stock	100,000	\$0	100,000	D	

## Explanation of Responses:

1. Reflects restricted stock units ("RSUs") that upon vesting converted into shares of Class A common stock of the Issuer ("Common Stock") on a one-for-one basis.

2. Reflects securities held directly by CDZ Capital Partners, LP. The general partner of CDZ Capital Partners, LP is CDZ Capital Corp. Mr. West controls CDZ Capital Corp.'s investment decisions. The Reporting Person disclaims beneficial ownership over the securities held by CDZ Capital Partners, LP, except to the extent of his pecuniary interest therein.

3. Each RSU represents a contingent right to receive one share of Common Stock. The RSUs will be settled in either Common Stock or cash (or a combination thereof) at the discretion of the Issuer's compensation committee.

4. Reflects RSUs that vested on May 13, 2024, the date of the Issuer's annual stockholders' meeting following the date of grant.

5. These RSUs shall vest on the earlier of (i) May 13, 2025 or (ii) the regularly scheduled annual stockholders' meeting of the Issuer following the grant date.

## Remarks:

/s/ Tracy Lowe, as Attorney-in-Fact 05/15/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.